

11/22/00
10962 U.S. PTO

11-24-00

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 206314

First Inventor GASTON

Title Method and Apparatus for Securely
Associating an Optically Readable
Memory with a User Machine

Express Mail Label No. EL643541530US

11714 U.S. PTO
09/72/2000

11/22/00

APPLICATION ELEMENTS

1. Utility Patent Application Transmittal Form
2. Applicant claims small entity status. See 37 CFR 1.27.
3. Specification (including claims and abstract) [Total Pages 25]
4. Drawings [Total Sheets 6]
5. Combined Declaration and Power of Attorney [Total Pages 3]
 - a. Newly executed
 - b. Copy from prior application [Note Box 6 below]
 - i. Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference.
7. Application Data Sheet. See 37 CFR 1.76
8. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence Submission
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper Copy
 - c. Statement verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

10. Applicant requests early publication. (include publication fee under 37 CFR 1.18(d))
11. Assignment Papers (cover sheet and document(s))
12. 37 CFR 3.73(b) Statement (when there is an Assignee)
13. Power of Attorney
14. English Translation Document (if applicable)
15. Information Disclosure Statement (IDS)
 - Form PTO-1449
 - Copies of Listed Documents
16. Preliminary Amendment
17. Return Receipt Postcard (Should be specifically itemized)
18. Certified Copy of Priority Document(s)
19. Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached)
20. Other: Application Cover Sheet
Verified Statement (Declaration)
Claiming Small Entity Status

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information in (a) and (b) below:

(a) Continuation Divisional Continuation-in-part of prior application no. 09/190,511. Prior application information: Examiner S. Kabakoff, Group Art Unit: 2132

(b) Preliminary Amendment: Benefit of earlier filing date - 35 USC 120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:

"This is a continuation divisional continuation-in-part (CIP) of
 Application No. , filed on , which is incorporated by reference."
 International Application No. , filed on , which designates the U.S., and
 which is incorporated by reference."

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 206314

APPLICATION FEES

BASIC FEE				\$710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	34	-20=	x \$18.00	\$252.00
Independent Claims	2	- 3=	x \$80.00	\$ 0
<input type="checkbox"/> Multiple Dependent Claim if applicable				+\$270.00
				\$ 0
				Total of above calculations = \$962.00
				Reduction by 50% for filing by small entity = \$(481.00)
<input type="checkbox"/> Assignment fee if applicable				+ \$40.00
<input type="checkbox"/> Early publication fee if applicable				+ \$300.00
				\$ 0
				TOTAL = \$481.00

22. Please charge my Deposit Account No. 12-1216 in the amount of \$.

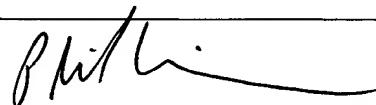
23. A check in the amount of \$481.00 is enclosed.

24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:

- Fees required under 37 CFR 1.16.
- Fees required under 37 CFR 1.17.

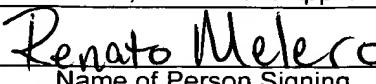
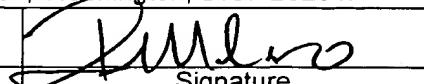
25. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

26. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 23460  23460 PATENT TRADEMARK OFFICE	<input type="checkbox"/> Phillip M. Pippenger, Reg. No. 46055 Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
Name	Phillip M. Pippenger, Registration No. 46055
Signature	
Date	November 22, 2000

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner of Patents, Box Patent Application, Washington, D.C. 20231.

		November 22, 2000
Name of Person Signing	Signature	Date

Utility (Rev. 11/13/2000)

Attorney Docket No.
206314

PATENT APPLICATION

Invention Title:

**METHOD AND APPARATUS FOR SECURELY ASSOCIATING AN OPTICALLY
READABLE MEMORY WITH A USER MACHINE**

Inventors:

Kerry R. Gaston

U.S.A.

Montgomery

AL

INVENTOR'S NAME	CITIZENSHIP	CITY OF RESIDENCE	STATE or FOREIGN COUNTRY
Kerry R. Gaston	U.S.A.	Montgomery	AL

Be it known that the inventors listed above have invented a certain new and useful invention with the title shown above of which the following is a specification.

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